



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No. 99,395-B)

10A11

1652  
RECEIVED

NOV 28 2001

TECH CENTER 1600/2900

Application of:

Lawn, et al.

Examiner: M. Rao

Serial No.: 09/596,141

Group Art Unit: 1652

Filed: June 16, 2000

Title: Compositions and Methods for Increasing  
Cholesterol Efflux and Raising HDL Using  
ATP Binding Cassette Transporter Protein  
ABC1

Asst. Commissioner for Patents  
Washington, D.C. 20231

Sir:

TRANSMITTAL LETTER

In regard to the above identified application:

1. We are transmitting herewith the attached:

- a. Second Supplemental Information Disclosure Statement
- b. Form PTO-1449
- c. Cited References

2. With respect to additional fees:

- a. \_\_\_\_ Attached is a check in the amount of \$

3. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490.  
A duplicate copy of this sheet is enclosed.

4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231 on this 16<sup>th</sup> day of November, 2001.

By Amita J. Terpstra  
Amita J. Terpstra  
Reg. No. 47,132

RECEIVED

NOV 28 2001

TECH CENTER 1600/2900

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 99,395-B)



In the Application of:

Lawn et al.

Serial No. 09/596,141

Filed: June 16, 2000

Title: Compositions and Methods for  
Increasing Cholesterol Efflux and  
Raising HDL Using ATP Binding  
Cassette Transporter Protein ABC1

Examiner: M. Rao

Group Art Unit: 1652

#7  
M.R.  
12/5/01

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Asst. Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97-1.98, the Applicants wish to make the following reference listed on the enclosed Form PTO-1449 of record in the above-identified application. This Second Supplemental Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56.

Copy of the reference cited on the Form PTO-1449 is enclosed.

6,225,525

May 1, 2001

Leung, et al.

WO 01/15676

8 March 2001

PCT International

WO 01/26453	19 April 2001	PCT International
WO 01/30848	3 May 2001	PCT International
WO 01/ 32184	10 May 2001	PCT International
WO 01/ 41704	14 June 2001	PCT International

Santamarina-Fojo, et al., "Complete genomic sequence of the human ABCA1 gene: Analysis of the human and mouse ATP-binding cassette A promoter", *PNAS*, vol. 97, pp. 7987-7992 (2000).

The Examiner is respectfully requested to consider the reference in its entirety and to so indicate by initialing the appropriate box on the Form PTO-1449. By submission of the reference on the Form PTO-1449, no representation is intended as to the relative importance of any portion of the reference. Furthermore, such citation is not a representation that the listed reference has effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103. Applicants expressly reserve the right to establish that the aforesaid reference is not prior art, and/or is not art that is analogous or relevant to the claimed invention.

This Second Supplemental Information Disclosure Statement is being filed:

before the mailing date of a first Office action on the merits, pursuant to 37 C.F.R. § 1.97(b).

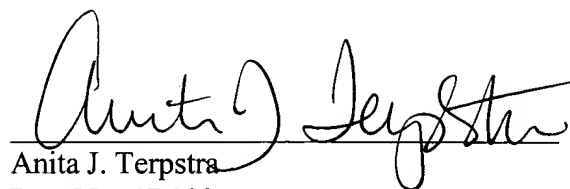
Please charge any underpayment or credit any overpayment in connection with this communication to Deposit Account No. 13-2490. A duplicate copy of this communication is enclosed for this purpose.

Respectfully submitted,

Dated: November 16, 2001

Telephone: 312-913-0001  
Facsimile: 312-913-0002

By:



Anita J. Terpstra  
Reg. No. 47,132  
McDonnell Boehnen Hulbert & Berghoff  
300 S. Wacker Drive  
Chicago, IL 60606